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**STATEMENT UNDER 37 CFR 3.73(b)**

**Applicant/Patent Owner: Glaxo Group Limited**  
**International Application No./Patent No.: PCT/EP2004/003235 Filed/Issue Date: 24 March 2004**  
**Entitled: ACTUATOR CAP FOR AEROSOL**  
**Glaxo Group Limited, a corporation, states that it is:**

1. ☒ the assignee of the entire right, title, and interest; or  
 2. ☐ an assignee of less than the entire right, title and interest.  
 The extent (by percentage) of its ownership interest is \_\_\_\_\_ % in the patent application/patent identified above by virtue of either;
- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
 The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_  
 The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_  
 The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.  
 [NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

X

Signature

12 SEP 2006

Date

Peter John GIDDINGS  
 Printed or Typed Name

+44 20 8047 4414

Telephone Number

Attorney and Authorised Official  
 Title

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is essential to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commission for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

## ASSIGNMENT

WHEREAS I/WE, **Andrew MacLEOD** of **Melbourn, Hertfordshire, GB** (hereinafter called "the inventor(s)") have invented or discovered "**ACTUATOR CAP FOR AEROSOL**" (hereinafter called "the invention") for which a patent application no. **0307445.7** was filed on **31 March 2003** in the United Kingdom and for which an international patent application has been filed designating the United States of America, with the following filing date and PCT International application number:

**PCT/EP2004/003235** filed **24 March 2004**

**WHEREAS** the invention, being made in the course of my employment, belongs to my/our employer, namely **PA HOLDINGS LIMITED**, a company incorporated in England and Wales whose registered address is 123 Buckingham Palace Road, London, SW1W 9SR, England, and

WHEREAS **PA CONSULTING SERVICES LIMITED**, a company incorporated in England and Wales whose registered address is 123 Buckingham Palace Road, London, SW1W 9SR, England, is desirous of acquiring from **PA HOLDINGS LIMITED** the whole right, title and interest in and to the invention and the application, and

**PA HOLDINGS LIMITED** and **PA CONSULTING SERVICES LIMITED** have authorised and requested my/our making the application, and

**WHEREAS GLAXO GROUP LIMITED** (trading as 'GlaxoSmithKline Consumer Healthcare') a company incorporated in England and Wales whose registered address is Glaxo Wellcome House, Berkeley Avenue, Greenford, Middlesex, UB6 0NN, England, is desirous of acquiring from **PA CONSULTING SERVICES LIMITED** the whole right, title and interest in and to the invention and the application in respect of the United States of America;

**NOW, THEREFORE**, to all whom it may concern be it known that I/we, the

inventor(s), hereby confirm the ownership by **PA HOLDINGS LIMITED** of the invention and the application by operation of law and, if under the law of the United States of America I/we the inventor(s) have any ownership right, title and interest in the invention and the application (which I/we do not believe to be the case and claim no ownership right, title or interest in the invention and the application based on the law of the United Kingdom), I/we the inventor(s) nevertheless hereby assign and transfer such ownership right, title and interest in and to the invention and the application to **PA HOLDINGS LIMITED**. **PA HOLDINGS LIMITED** in turn hereby assigns and transfers to **PA CONSULTING SERVICES LIMITED** its whole right, title and interest in and to the invention and the application. **PA CONSULTING SERVICES LIMITED** in turn, subject always to all contractual arrangements between PA Consulting Services Limited and Glaxo Group Limited, hereby assigns and transfers to **GLAXO GROUP LIMITED** its whole right, title and interest in and throughout the United States of America in and to the invention and the application and in and to any priority rights in respect of the invention and the application and in and to any divisional application, continuation or continuation in part application thereof, and in and to any extension or re-issue thereof, and I/we the inventor(s) and **PA HOLDINGS LIMITED** and **PA CONSULTING SERVICES LIMITED** hereby authorise and request any patent arising therefrom in the United States of America be issued to **GLAXO GROUP LIMITED**.

AND **PA HOLDINGS LIMITED** and **PA CONSULTING SERVICES LIMITED** hereby, and I/we the inventor(s) for myself/ourselves and my/our respective executors and legal representatives hereby, agree to provide information and make execute and deliver any and all other instruments in writing, and any and all further acts, applications, papers, affidavits, assignments and other documents which may be reasonably necessary to more effectually secure to and vest in **GLAXO GROUP LIMITED**, its successors and assigns, the whole right, title and interest in and to the invention and the application hereby assigned and transferred in respect of the United States of America.

IN WITNESS whereof and with effect from **31 March 2003** the inventor(s) and **Jonathan MOYNIHAN** as director of both **PA HOLDINGS LIMITED** and **PA CONSULTING SERVICES LIMITED** by virtue of the articles of association,

granted by **PA HOLDINGS LIMITED** and **PA CONSULTING SERVICES LIMITED** respectively have hereunto set their respective hands.

**SIGNED** by Andrew MacLEOD:

Andrew Macleod

Date: 14th August '06

**SIGNED** by Jonathan MOYNIHAN as director of both **PA HOLDINGS LIMITED** and **PA CONSULTING SERVICES LIMITED** by virtue of the articles of association granted by **PA HOLDINGS LIMITED** and **PA CONSULTING SERVICES LIMITED**:

Jonathan Moynihan

Date: 10-8-06

**Assignment**

WHEREAS, I/we **Louis Anthony MASSARI**, a citizen of the United States of America and residing at Weybridge, Surrey, GB, has/have invented or discovered certain improvements in '**ACTUATOR CAP FOR AEROSOL**' hereinafter referred to as said invention and improvements for which a priority application **0307445.7** was filed on **31 March 2003** in the United Kingdom Patent Office and for which a PCT international application is now being filed designating the United States of America and naming assignor as inventor, and in the United States only applicant/inventor. I/We hereby authorize and request that the filing date and application number of said PCT application, when known be inserted in parentheses below.

(PCT/EP2004/003235 filed 24 March 2004)

WHEREAS, **GLAXO GROUP LIMITED.**, a company incorporated in England and Wales, whose registered office is at Glaxo Wellcome House, Berkeley Avenue, Greenford, Middlesex, UB6 0NN, England, is desirous of acquiring the whole right, title and interest in and to said invention and improvements, and in and to any applications for said invention and improvements and any Letters Patent to be obtained therefor, in all countries, including the United States, its territories and possessions;

NOW, THEREFORE, to all whom it may concern, be it known that I/we, **Louis Anthony MASSARI** for good and valuable consideration unto me/us moving, the receipt whereof is hereby acknowledged, have sold, assigned and transferred, and by these presents do sell, assign and transfer my/our whole right, title and interest in and to said invention and improvements to said **GLAXO GROUP LIMITED**, throughout the United States of America, its territories and possessions, and in and to said application and any extensions, reissues, continuations, continuations-in-part, and any divisions thereof, and in and to any and all Letters Patent of the United States of America;

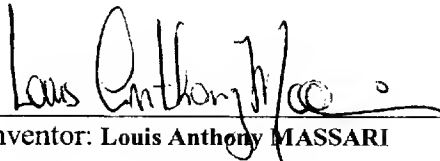
AND, my/our whole right, title and interest in and to said invention and improvements to **GLAXO GROUP LIMITED**, in all other countries throughout the world, and in and to any applications in said other countries, and continuations-in-part, patents of addition, revalidation patents, patents of importation, registrations, and any renewals, extensions and divisions thereof, and in and to any and all Letters Patent of said all other countries which may be granted on said invention and improvements including any priority rights under the International Convention.

AND, I/we do hereby authorize and request the issue of any Letters Patent in the respective areas referred to, to said **GLAXO GROUP LIMITED**, as assignees of my/our whole right, title and interest in and to the same for the sole use and behoof of the said assignees, their successors and assigns as their interests appear herein;

AND, I/we warrant that I/we have not knowingly conveyed to others any right in said invention, improvements, applications or patents or any license to use the same or to make, use or sell anything embodying or utilizing said invention and improvements and that I/we have good right to assign the same to **GLAXO GROUP LIMITED**;

AND, I/we the undersigned **Louis Anthony MASSARI** for the consideration aforesaid, do hereby agree that I/we or my/our executors or legal representatives, will provide information and make, execute and deliver any and all other instruments in writing, and any and all further acts, application papers, affidavits, assignments and other documents which may be necessary or desirable to more effectually secure to and vest in said **GLAXO GROUP LIMITED**, their successors and assigns, the whole right, title and interest in and to the said invention and improvements, applications, Letters Patent, rights, title and interest hereby sold, assigned and conveyed, or intended so to be. *This assignment should be deemed effective as of 31 March 2003.*

IN WITNESS WHEREOF, I/we have hereunto set my/our hand(s) and affixed my/our seal(s) on the date(s) indicated below.

  
Inventor: **Louis Anthony MASSARI**

06. SEPT. 2006

Date